2023/10/5 16:01 SEC FORM 4

SEC Form 4

## FORM 4

Check this box if no longer subject

to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPR	OVAL
OMB Number:	3235-0287
Estimated average b	ourden
hours per response:	0.5

				or Se	ection 30(h) of the In	vestment	Com	pany Act of 194	10						
Name and Address of Reporting Person*  Wong Chun Kwok					uer Name <b>and</b> Ticker ASEA INC. [DT		g Syr	mbol			ationship of Reporting all applicable) Director	g Person(s) to Is			
(Last)	(First)	(Middle)		3. Dat 05/08/	te of Earliest Transac /2023	tion (Mon	th/Da	ay/Year)		Officer (give title below)	Other below	(specify )			
RM 112, DRAGON COURT, 100 WATERLOO ROAD, KOWLOON, HONG KONG					mendment, Date of 0	Original Fi	led (N	Month/Day/Yea	6. Indi	1 '					
(Street) BEIJING F4 100176										Form filed by More than One Report Person					
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is										
		Tab	le I - Non-De	☐ intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.  Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date			2. Transaction Date (Month/Day/		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock 05/08/20				23		A		10,000 <sup>(1)</sup>	A	\$0	10,000	D			
ĺ		7	Tabla II Dari	ivativ	o Securities Assur	irod Dic	naca	d of or Bone	eficially	Owned					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, Training or Exercise (Month/Day/Year) if any		Transac Code (li	ransaction Number Code (Instr. of			6. Date Exerc Expiration D (Month/Day/ <sup>1</sup>	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. The reporting person was granted shares of the Issuer's common stock (the "Shares") under the Issuer's 2018 Equity Incentive Plan, vesting immediately with an agreement between the reporting person and the Issuer that the reporting person shall not dispose, sell or transfer the Shares for at least six months plus one day following the date of grant. The 10,000 Shares were granted as the reporting person's incentive stock compensation for serving as the Issuer's independent director.

/s/ Stephen (Chun Kwok) Wong

06/20/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).